Formation of cyclopentanedione by alkaline degradation of carbohydrates

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Treatment of carbohydrates with alkali usually gives a complex mixture of products, resulting both from rearrangements and fragmentations, which may be followed by various recombination reactions¹. The best known reaction products are the saccharinic acids¹ and lower-molecular-weight carboxylic acids which are stable end-products of alkaline treatment of carbohydrates.

Carbocyclic compounds are not very well known among the dehydration products of carbohydrates. So far, only compounds 1-5 have been reported in the literature. Apart from reductic acid² (1), which is an acidic rather than an alkaline dehydration product of uronic acids, only 3-methyl-1,2-cyclopentanedione (2) has been known for some time. It is formed as a minor product during the destructive distillation of wood³ and the digestion of spruce wood or D-galactose with sodium hydroxide⁴. Recently, it has been detected among the volatile compounds produced by the heating of D-glucose⁵ and among the alkaline-degradation products of D-fructose⁶. In the latter case, three more cyclopentanediones (3, 4, and 5), in addition to 2, have been reported⁶. 1,2-Cyclopentanedione (6) itself has so far not been reported as a decomposition product of carbohydrates. This Note reports the formation of 6 by alkaline degradation of a number of carbohydrates under mild conditions.

EXPERIMENTAL AND RESULTS

The procedure for the detection and isolation of 1,2-cyclopentanedione, described here with maltose as starting material, was as follows: Maltose (61 mg)

was treated with 2m potassium hydroxide (10 ml) for 20 h at room temperature under nitrogen. The reaction mixture was neutralized (pH 6.0-6.5) with 6m hydrochloric acid, saturated with ammonium sulfate, and extracted with ethyl acetate. T.l.c. of the extract (silica gel, 4:1, v/v, benzene-methanol) showed an intense, dark-blue spot (R_F 0.40) with diazotated benzidine as reagent for the enol group. The compound was isolated, in a yield of ~2%, by preparative t.l.c. with the same solvent system. It showed the same R_F value and color reaction as 1,2-cyclopentanedione (6) synthesized according to Hesse and Bücking⁷ and Jaeger and Blumendal⁸. The structure was confirmed by i.r. spectrometry ($v_{\text{max}}^{\text{KBr}}$ 3210, 1960, 1690, and 1640 cm⁻¹); p.m.r. spectrometry (chloroform-d): δ 2.50 (4 H, m, 2 CH₂), 6.02 (1 H, broad s, OH), 6.56 (1 H, m, =CH-); and mass spectrometry: m/e 98 (M[†]), 69 (M[†]-29), 55 (M[†]-43), 42 (M[†]-56), 28 (M[†]-70), and 18 (M[†]-80). The compound exists in the enol form and readily decomposes upon exposure to air with browning, and it forms black spots when applied to the skin⁹.

A number of carbohydrates were treated with alkali and tested by t.l.c. for the formation of 1,2-cyclopentandione as just described. The results (Table I) show that, with the exception of 2-deoxy-D-erythro-pentose, only reducing carbohydrates substituted at C-3 or C-4 give the title compound in significant amounts.

DISCUSSION

As in most alkaline degradations of carbohydrates, the reaction starts from the reducing group and eliminates the substituent at C-3 or C-4, respectively, and formic acid by splitting the bond between C-1 and C-2. Therefore, high-molecular-weight polysaccharides (e.g. starch) having very few reducing groups do not generate enough cyclopentanedione to be detected, whereas degraded starches (dextrins) contain sufficient reducing groups to produce detectable amounts of 6.

TABLE I

FORMATION OF 1,2-CYCLOPENTANEDIONE FROM VARIOUS CARBOHYDRATES

Carbohydrates	Formation of 1,2-cyclopentanedione	
Maltose	+	
Cellobiose	+	
Lactose	+	
Lactulose	+	
3-O-Tosyl-D-glucose	+	
Dextrin	+	
2-Deoxy-D-erythro-pentose	+	
p-Glucose	-	
Melibiose	_	
Sucrose	_	
Starch	-	
L-Arabinose	Traces	
p-Fructose	Traces	

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A possible reaction mechanism for the formation of cyclopentanedione from C₃-substituted hexose derivatives is given in Scheme 1. The elimination of OR at the C-3 position and of formic acid gives 2-deoxy-D-erythro-pentose (7), and this reaction is indeed utilized for the preparation of this deoxy sugar^{10,11}. Loss of water from 7 gives 8, which is in equilibrium¹² with the tautomeric forms 9 and 10. By an intra-molecular aldol condensation and further loss of a molecule of water, 1,2-cyclopentanedione (6) is formed. The formation of 6 from 7 (Table I) lends support to this mechanism. A similar reaction scheme can be postulated for hexoses substituted at C-4 leading to 6 via the same intermediate 9 (Scheme 2). Small amounts of formic acid have been detected in alkaline digests of C-3- and C-4-substituted hexoses¹³.

The formation of 6 as a possible artifact has to be considered when $(1\rightarrow 3)$ - or $(1\rightarrow 4)$ -linked oligosaccharides or carbohydrate derivatives (e.g. esters) are treated with alkali under mild conditions.

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